PTO/SB/05 (03-01)

December 27, 2001

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 11738.00041 First Inventor Gregory Hrdlicka Control Of Externally Induced Current in An Implantable Pulse Generator

Express Mail Label No. EL942515960US (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or 1. Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. 🔲 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) 3. 🛛 a. Computer Readable Form (CRF) Specification [Total Pages | (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix ACCOMPANYING APPLICATIONS PARTS - Background of the Invention - Brief Summary of the Invention 9. 🛛 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 37 C.F.R.§3.73(b) Statement ☐ Power of - Detailed Description (when there is an assignee) Attorney - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 12. Copies of IDS Drawing(s) (35 U.S.C.113) [Total Sheets Information Disclosure 1 Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages 13. 🔲 **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) Certified Copy of Priority Document(s) 15. 🔲 I. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 16. 🔲 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76. ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: ___ Group / Art Unit: Prior application information. Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS 22908 Correspondence address below □ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Binal J. Patel Name Banner & Witcoff, Ltd. Ten South Wacker Drive Address **Suite 3000** 60606 City 11 Zip Code Chicago State Telephone 312-715-1000 312-715-1234 LISA Country Registration No. (Attorney/Agent) 42,065 Name (Print/Type) Binal J. Patel

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Signature

CCC TO A NOMITTAL		Complete if Known				
FEE TRANSMITTAL	Application Number					
for FY 2002	Filing Date	December 26, 2001				
	First Named Inventor	Gregory Hrdlicka				
Patent fees are subject to annual revision.	Examiner Name	n/a				
	Group / Art Unit	n/a				

TOTAL AMOUNT OF PAYMENT (\$) 1644						Attorney Docket No.			11738	11738.00041		
METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)						
☐ Check		redit card int:		Money □ Ot Order	her 🔲 None	e	3. ADD	ITIONAL Large Entity Fee (\$)	FEES Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit				105	130	205	65	Surcharge - late filing fee or oath				
Account 01-0850 Number				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
					139	130	139	130	Non-English specification			
Deposit Apparet Report 8 Witterff			147	2,520	147	2,520	For filing a request for reexamination					
Account Banner & Witcoff Name					112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
The Commissioner is authorized to: (check all that apply) ☑ Charge fee(s) indicated below ☑ Credit any overpayments					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
				tring the pende		plication	115	110	215	55	Extension for reply within first month	
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					116	400	216	200	Extension for reply within second month			
		F	EE CA	LCULATION			117	920	217	460	Extension for reply within third month	
1. B Large	ASIC FIL	ING FEE Small Er	ntity				118	1,440	218	720	Extension for reply within fourth month	
Fee	•		ee i	Fee Description	1		128	1,960	228	980	Extension for reply within fifth month	
Code	(\$)	Code (\$		•		e Paid	119	320	219	160	Notice of Appeal	
101	740 2	201 37	70	Utility filing fee	74	0	120	320	220	160	Filing a brief in support of an appeal	
106	330 2	206 16	65	Design filing fee			121	280	221	140	Request for oral hearing	
107 108				Plant filing fee Reissue filing fe	e		138	1,510	138	1,510	Petition to institute a public use proceeding	
114	160 2	214 80		Provisional filling	<u> </u>		140	110	240	55	Petition to revive - unavoidable	
				_			141	1,280	241	640	Petition to revive - unintentional	
SUBTOTAL (1) (\$) 740					142	1,280	242	640	Utility issue fee (or reissue)			
2. EXTR	A CLAIN	LEES					143	460	243	230	Design issue fee	
Z. EXIII	ACLAIN	ITELO		Extra F	ee from	Fee	144	620	244	310	Plant issue fee	
			_	Claims b	elow	Paid	122	130	122	130	Petitions to the Commissioner	
Total Claim	s 40	-20 **	' = [20 X	18 =	360	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims	9	-3 **	= [6 X	84 =	504	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent			-	×	=	0	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Fee	Small Fee	Entity Fee	/ Fee Descript	ion		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	(\$) 9	Claims in exc			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102	84	202	42	Independent	claims ın exce	ss of 3		7.0	070	070		
104	280	204	140		ndent claim, if	•	179	740	279	370	Request for Continued Examination (RCE)	
109	84	209	42	original pater			169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	** Reissue cla over original	aims in excess patent	of 20 and						
			SI	JBTOTAL (2)	(\$) 864		Other fe	ee (specif	у)	-		
**or number previously paid if greater: For Reissues, see above. **or number previously paid if greater: For Reissues, see above. (\$) 40												

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Binal J. Patel	Registration No. Attorney/Agent)	42,065	Telephone	312-715-1000		
Signature	J.	1116		Date	December 27, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF MAILING (NEW PATENT APPLICATION)

Express Mail No. <u>EL942515960US</u>

Deposited: December 27, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Application of:

Hrdlicka et al.

Application No.:

Filing Date:

December 27, 2001

Title:

Control Of Externally Induced Current In An Implantable Pulse Generator

Transmitted herewith are the following documents:

<u>X</u> Utility Patent Application Transmittal (1 page) in duplicate

X Fee Transmittal (1 page) in duplicate

X Application Data Sheet (5 pages)

X Specification (29 pages)

X Drawings (11 pages) Figs. 1-11

X Executed Declaration (4 pages)

X Recordation Form Cover Sheet (3 pages)

X Executed Assignment (4 pages)

X Return Postcard

Attorney Case No.: <u>11738.00041</u>